

# Cryptography

## Lecture 4

# Announcements

- HW1 due Wednesday, 2/8 at beginning of class
- Discrete Math Readings/Quizzes due Friday, 2/10 @ 11:59pm

# Agenda

- Last time:
  - Perfect Secrecy (K/L 2.1)
  - One time pad (OTP) (K/L 2.2)
- This time:
  - Limitations of perfect secrecy (K/L 2.3)
  - Shannon's Theorem (K/L 2.4)
  - The Computational Approach (K/L 3.1)

# Drawbacks of OTP

- Key length is the same as the message length.
  - For every bit communicated over a public channel, a bit must be shared privately.
  - We will see this is not just a problem with the OTP scheme, but an **inherent** problem in perfectly secret encryption schemes.

- Key can only be used once.
  - You will see in the homework that this is also an **inherent** problem.

$$\begin{aligned} C_0 &= K \oplus m_0 \\ C_1 &= K \oplus m_1 \end{aligned} = \boxed{m_0 \oplus m_1}$$

# Limitations of Perfect Secrecy

Theorem: Let  $(Gen, Enc, Dec)$  be a perfectly-secret encryption scheme over a message space  $M$ , and let  $K$  be the key space as determined by  $Gen$ . Then  $|K| \geq |M|$ .

Size of the key space is at least the size of the message space.

Proof Technique: Proof by contradiction

$$p \rightarrow q \equiv \underbrace{q \rightarrow p}$$

Assume  $|K| < |M|$ . Prove that  $(Gen, Enc, Dec)$  is

NOT perfectly secret.  $\therefore \exists$  dist over  $M$  and there exists  $m, c$  s.t.  $\Pr[M=m|C=c] \neq \Pr[M=m]$ .

Goal

Proof. Consider the uniform dist. over  $\mathcal{M}$   
Choose an arbitrary  $c$  st.  $\Pr[C=c] > 0$ .

Define a set  $\mathcal{M}(c)$ . a set of messages that  
can be reached from  $c$ .

$$\mathcal{M}(c) = \{m \mid \exists k \in \mathcal{K} \text{ for which } m = \text{Dec}_k(c)\}$$

Assumption

$$|\mathcal{M}(c)| \leq |\mathcal{K}| < |\mathcal{M}|$$

let  $m^*$  be the elements in  $\mathcal{M}$  and not in  $\mathcal{M}(c)$ .

$$\Pr[M=m^*] = \frac{1}{|\mathcal{M}|} \text{ by choice of dist.}$$

$$\Pr[M=m^* \mid C=c] = 0$$



# Proof

Proof (by contradiction): We show that if  $|\mathbf{K}| < |\mathbf{M}|$  then the scheme cannot be perfectly secret.

- Assume  $|\mathbf{K}| < |\mathbf{M}|$ . Consider the uniform distribution over  $\mathbf{M}$  and let  $c \in \mathbf{C}$ .
- Let  $\mathbf{M}(c)$  be the set of all possible messages which are possible decryptions of  $c$ .

$$\mathbf{M}(c) := \{m' \mid m' = Dec_k(c) \text{ for some } k \in \mathbf{K}\}$$

# Proof

$\mathbf{M}(c) := \{ m' \mid m' = Dec_k(c) \text{ for some } k \in \mathbf{K} \}$

- $|\mathbf{M}(c)| \leq |\mathbf{K}|$ . Why?
- Since we assumed  $|\mathbf{K}| < |\mathbf{M}|$ , this means that there is some  $m' \in \mathbf{M}$  such that  $m' \notin \mathbf{M}(c)$ .
- But then

$$\Pr[M = m' \mid C = c] = 0 \neq \Pr[M = m']$$

And so the scheme is not perfectly secret.



# Shannon's Theorem

Let  $(Gen, Enc, Dec)$  be an encryption scheme with message space  $\mathbf{M}$ , for which  $|\mathbf{M}| = |\mathbf{K}| = |\mathbf{C}|$ . The scheme is perfectly secret if and only if:

1. Every key  $k \in \mathbf{K}$  is chosen with equal probability  $1/|\mathbf{K}|$  by algorithm  $Gen$ .
2. For every  $m \in \mathbf{M}$  and every  $c \in \mathbf{C}$ , there exists a unique key  $k \in \mathbf{K}$  such that  $Enc_k(m)$  outputs  $c$ .

\*\*Theorem only applies when  $|\mathbf{M}| = |\mathbf{K}| = |\mathbf{C}|$ .

# Some Examples

- Is the following scheme perfectly secret? Not
- Message space  $M = \{0, 1, \dots, n - 1\}$ . Key space  $K = \{0, 1, \dots, n - 1\}$ .
- $\text{Gen}()$  chooses a key  $k$  at random from  $K$ .
- $\text{Enc}_k(m)$  returns  $m + k$ .
- $\text{Dec}_k(c)$  returns  $c - k$ .

$\exists$  distinct  $a, b$ ,  $\exists$   $m, c$   
 $\text{Pr}[M=m | C=c] \neq \text{Pr}[M=0]$   
 $1 \neq \frac{1}{n}$  when  $n > 1$ .

$C = \{0, \dots, 2(n-1)\}$   
 So  $|C| \neq |K| = |M|$   
 Shannon's th doesn't apply.



# Some Examples

by Shannon's  
Th. if is  
perfectly  
secret.

- Is the following scheme perfectly secret?
- Message space  $M = \{0, 1, \dots, n - 1\}$ . Key space  $K = \{0, 1, \dots, n - 1\}$ .
- $\text{Gen}()$  chooses a key  $k$  at random from  $K$ .
- $\text{Enc}_k(m)$  returns  $(m + k) \bmod n$ .
- $\text{Dec}_k(c)$  returns  $(c - k) \bmod n$ .

Fix  $m, c$  is there a unique  $k$   
s.t.  $c = \text{Enc}_k(m)$ ?

$$m + \underbrace{k}_{\text{key}} = \underbrace{c}_{\text{ciphertext}} \bmod n$$

$$k = \underbrace{(c - m) \bmod n}_{\text{key}}$$



# The Computational Approach

Two main relaxations:

1. Security is only guaranteed against efficient adversaries that run for some feasible amount of time.
2. Adversaries can potentially succeed with some very small probability.