

# ENEE381

Plane Waves in 3D,  
Polarization, Reflection,

# Topics

Phasor Representation of Fields  
Solving Maxwell's Equations  
Polarization

# Where We Stand

$$\nabla \cdot \vec{\mathbf{D}} = \rho_f$$

$$\nabla \cdot \vec{\mathbf{B}} = 0$$

$$\nabla \times \vec{\mathbf{E}} = -\frac{\partial \vec{\mathbf{B}}}{\partial t}$$

$$\nabla \times \vec{\mathbf{H}} = \vec{\mathbf{J}}_f + \frac{\partial \vec{\mathbf{D}}}{\partial t}$$

Assume the following:

Linear, isotropic,  
instantaneous, media

Propagation in free  
space, no free charge or  
current.

$$\rho_f = 0, \quad \vec{\mathbf{J}}_f = 0$$

$$\vec{\mathbf{D}} = \epsilon \vec{\mathbf{E}}$$

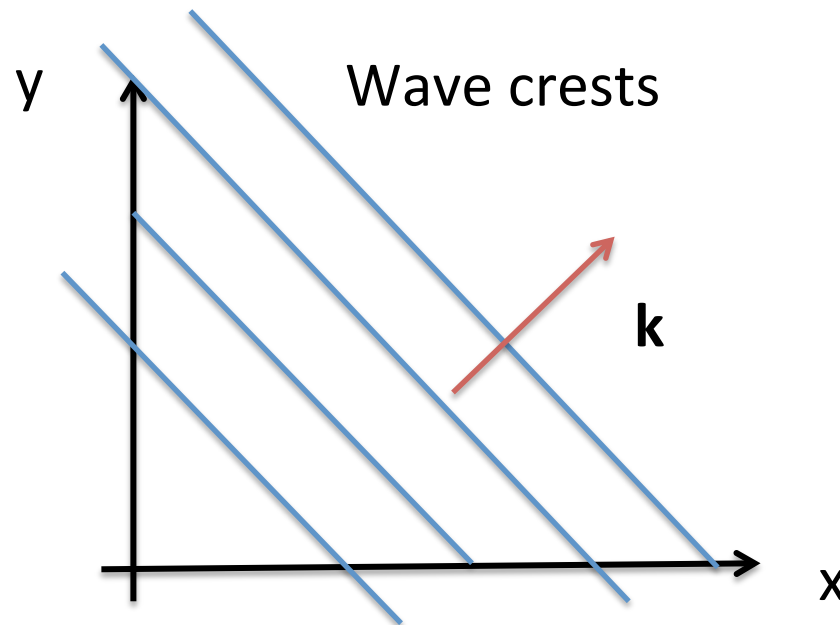
$$\vec{\mathbf{B}} = \mu \vec{\mathbf{H}}$$

# Introduce Phasor Notation

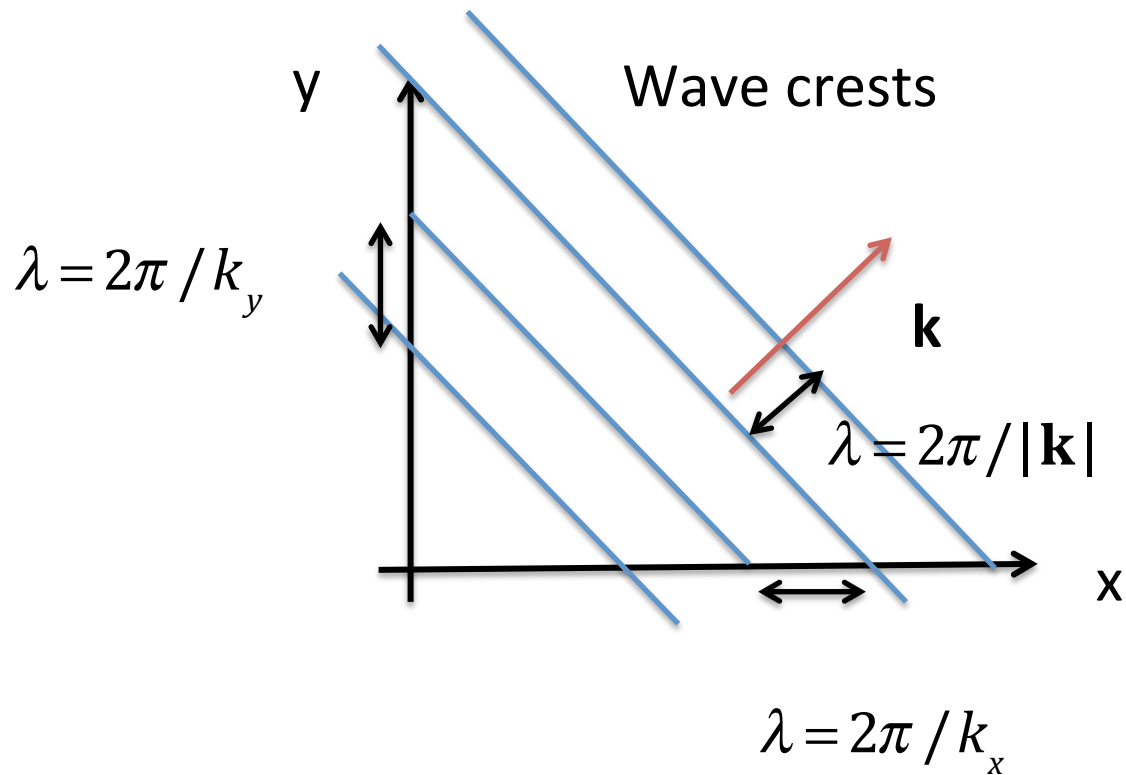
$$\vec{\mathbf{E}}(\mathbf{x},t) = \text{Re}\left\{\hat{\mathbf{E}}\exp\left[i(\mathbf{k}\cdot\mathbf{x} - \omega t)\right]\right\} \quad \mathbf{H}(\mathbf{x},t) = \text{Re}\left\{\hat{\mathbf{H}}\exp\left[i(\mathbf{k}\cdot\mathbf{x} - \omega t)\right]\right\}$$

Note: two new elements

1. Phasor amplitudes are vectors. Will be independent of space and time.
2. Space and time dependence contained in complex exponential
3. Wave number  $k$  is now wave vector  $\mathbf{k}$ .



$$\vec{\mathbf{E}}(\mathbf{x}, t) = \text{Re} \left\{ \hat{\mathbf{E}} \exp \left[ i(\mathbf{k} \cdot \mathbf{x} - \omega t) \right] \right\}$$



$$\vec{\mathbf{E}}(\mathbf{x}, t) = \text{Re} \left\{ \hat{\mathbf{E}} \exp \left[ i(k_x x + k_y y - \omega t) \right] \right\}$$

# When does this work?

$$\vec{\mathbf{E}}(\mathbf{x},t) = \text{Re} \left\{ \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right\} \quad \mathbf{H}(\mathbf{x},t) = \text{Re} \left\{ \hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right\}$$

Works when  $\epsilon$  and  $\mu$  are independent of space and time.

$$\nabla \times \vec{\mathbf{E}} = -\mu \frac{\partial \vec{\mathbf{H}}}{\partial t}$$

$$\begin{aligned} & \nabla \times \text{Re} \left\{ \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right\} \\ &= \text{Re} \left\{ \nabla \times \left( \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right) \right\} \\ &= \text{Re} \left\{ i\mathbf{k} \times \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right\} \end{aligned}$$

$$\begin{aligned} & -\mu \frac{\partial}{\partial t} \text{Re} \left\{ \hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right\} \\ &= \text{Re} \left\{ -\mu \frac{\partial}{\partial t} \left( \hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right) \right\} \\ &= \text{Re} \left\{ -\mu(-i\omega) \left( \hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] \right) \right\} \end{aligned}$$

# Relating phasor amplitudes

$$\operatorname{Re}\{i\mathbf{k} \times \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)]\} = \operatorname{Re}\{-\mu(-i\omega)(\hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)])\}$$

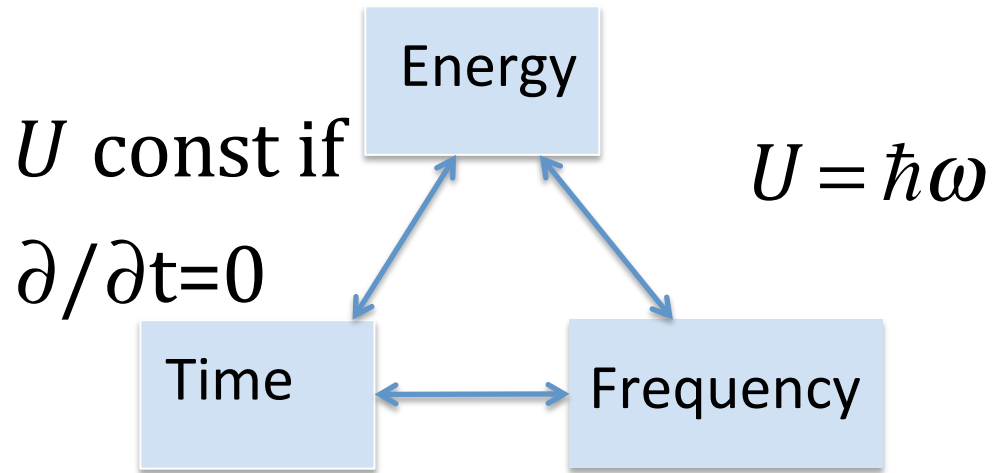
If the real parts of two complex variables are equal, and there is no restriction on the imaginary parts, then I can make the complex variables equal.

$$i\mathbf{k} \times \hat{\mathbf{E}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)] = -\mu(-i\omega)(\hat{\mathbf{H}} \exp[i(\mathbf{k} \cdot \mathbf{x} - \omega t)])$$

Now cancel the exponential factor from both sides. Result must still hold for all  $\mathbf{x}$  and  $t$ .

$$i\mathbf{k} \times \hat{\mathbf{E}} = -\mu(-i\omega)\hat{\mathbf{H}}$$

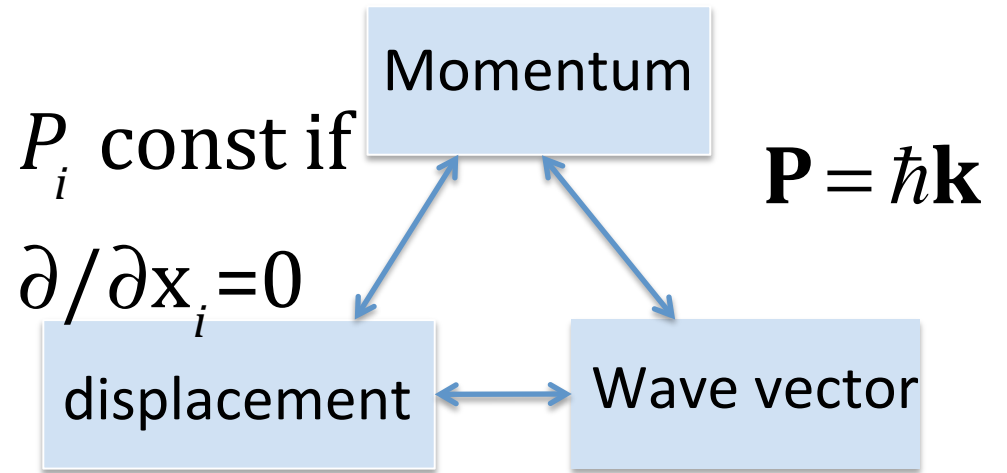
# Linked Quantities



$$1 = \Delta t \Delta \omega$$

Sinusoidal waves

$$\exp(i\mathbf{k} \cdot \mathbf{x} - i\omega t)$$



$$1 = \Delta x \Delta k$$



# Maxwell Eqs. Phasor Amplitudes

$$\nabla \cdot \vec{\mathbf{E}} = 0 \quad \nabla \cdot \vec{\mathbf{H}} = 0 \quad \nabla \times \vec{\mathbf{E}} = -\mu \frac{\partial \vec{\mathbf{H}}}{\partial t} \quad \nabla \times \vec{\mathbf{H}} = \varepsilon \frac{\partial \vec{\mathbf{E}}}{\partial t}$$

To get equations for phasor amplitudes  $\vec{\mathbf{E}}, \vec{\mathbf{H}} \Rightarrow \hat{\mathbf{E}}, \hat{\mathbf{H}} \quad \frac{\partial}{\partial t}, \nabla \Rightarrow -i\omega, i\mathbf{k}$

$$i\mathbf{k} \cdot \hat{\mathbf{E}} = 0 \quad i\mathbf{k} \cdot \hat{\mathbf{H}} = 0 \quad i\mathbf{k} \times \hat{\mathbf{E}} = i\omega\mu\hat{\mathbf{H}} \quad i\mathbf{k} \times \hat{\mathbf{H}} = -i\omega\varepsilon\hat{\mathbf{E}}$$

# Combine

$$i\mathbf{k} \cdot \hat{\mathbf{E}} = 0 \quad i\mathbf{k} \cdot \hat{\mathbf{H}} = 0 \quad i\mathbf{k} \times \hat{\mathbf{E}} = i\omega\mu\hat{\mathbf{H}} \quad i\mathbf{k} \times \hat{\mathbf{H}} = -i\omega\varepsilon\hat{\mathbf{E}}$$

combine 
$$i\mathbf{k} \times (i\mathbf{k} \times \hat{\mathbf{E}}) = i\omega\mu(i\mathbf{k} \times \hat{\mathbf{H}}) = i\omega\mu(-i\omega\varepsilon\hat{\mathbf{E}}) = \omega^2\varepsilon\mu\hat{\mathbf{E}}$$

Use "BAC CAB" 
$$\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = \mathbf{B}(\mathbf{A} \cdot \mathbf{C}) - \mathbf{C}(\mathbf{A} \cdot \mathbf{B})$$

$$(\mathbf{k} \cdot \mathbf{k})\hat{\mathbf{E}} - \mathbf{k}(\mathbf{k} \cdot \hat{\mathbf{E}}) = k^2\hat{\mathbf{E}} = \omega^2\varepsilon\mu\hat{\mathbf{E}}$$

# Plane waves in 3D

$$(k^2 - \omega^2 \epsilon \mu) \hat{\mathbf{E}} = 0, \quad \mathbf{k} \cdot \hat{\mathbf{E}} = 0$$

E can be in any direction perpendicular to k,

Dispersion relation

$$\omega^2 = \frac{k^2}{\epsilon \mu} = k^2 v^2 \quad k^2 = |\mathbf{k}|^2 = k_x^2 + k_y^2 + k_z^2$$

Faraday's Law

$$\mathbf{k} \times \hat{\mathbf{E}} = \omega \mu \hat{\mathbf{H}}$$

$$\frac{\mathbf{k}}{|\mathbf{k}|} \times \hat{\mathbf{E}} = \frac{\omega \mu}{|\mathbf{k}|} \hat{\mathbf{H}} = \sqrt{\frac{\mu}{\epsilon}} \hat{\mathbf{H}}$$

Remember 1D

$$\omega = \pm kv$$

$$\lambda = v / f$$

$$\lambda = 2\pi / k$$

$$\omega = 2\pi f$$

# Superposition of Solutions

Maxwell's Eqs. are linear in  $\mathbf{E}$  &  $\mathbf{H}$ . Thus, separate solutions can be added together. Consider 2 solutions:

$$\vec{\mathbf{E}}_1(\mathbf{x}, t) = \text{Re} \left\{ \hat{\mathbf{E}}_1 \exp \left[ i(\mathbf{k}_1 \cdot \mathbf{x} - \omega_1 t) \right] \right\} \quad k_1^2 = \omega_1^2 \epsilon \mu$$

$$\vec{\mathbf{E}}_2(\mathbf{x}, t) = \text{Re} \left\{ \hat{\mathbf{E}}_2 \exp \left[ i(\mathbf{k}_2 \cdot \mathbf{x} - \omega_2 t) \right] \right\} \quad k_2^2 = \omega_2^2 \epsilon \mu$$

Then  $\mathbf{E}_1 + \mathbf{E}_2$  is also a solution of Maxwell's Equations

$$\vec{\mathbf{E}}(\mathbf{x}, t) = \text{Re} \left\{ \sum_j \hat{\mathbf{E}}_j \exp \left[ i(\mathbf{k}_j \cdot \mathbf{x} - \omega(\mathbf{k}_j)t) \right] \right\} \quad k_j^2 = \epsilon \mu \omega^2(\mathbf{k}_j)$$

# Turn the sum into an integral

$$\vec{\mathbf{E}}(\mathbf{x}, t) = \text{Re} \left\{ \sum_j \hat{\mathbf{E}}_j \exp \left[ i(\mathbf{k}_j \cdot \mathbf{x} - \omega(\mathbf{k}_j)t) \right] \right\} \quad k_j^2 = \epsilon\mu\omega^2(\mathbf{k}_j)$$

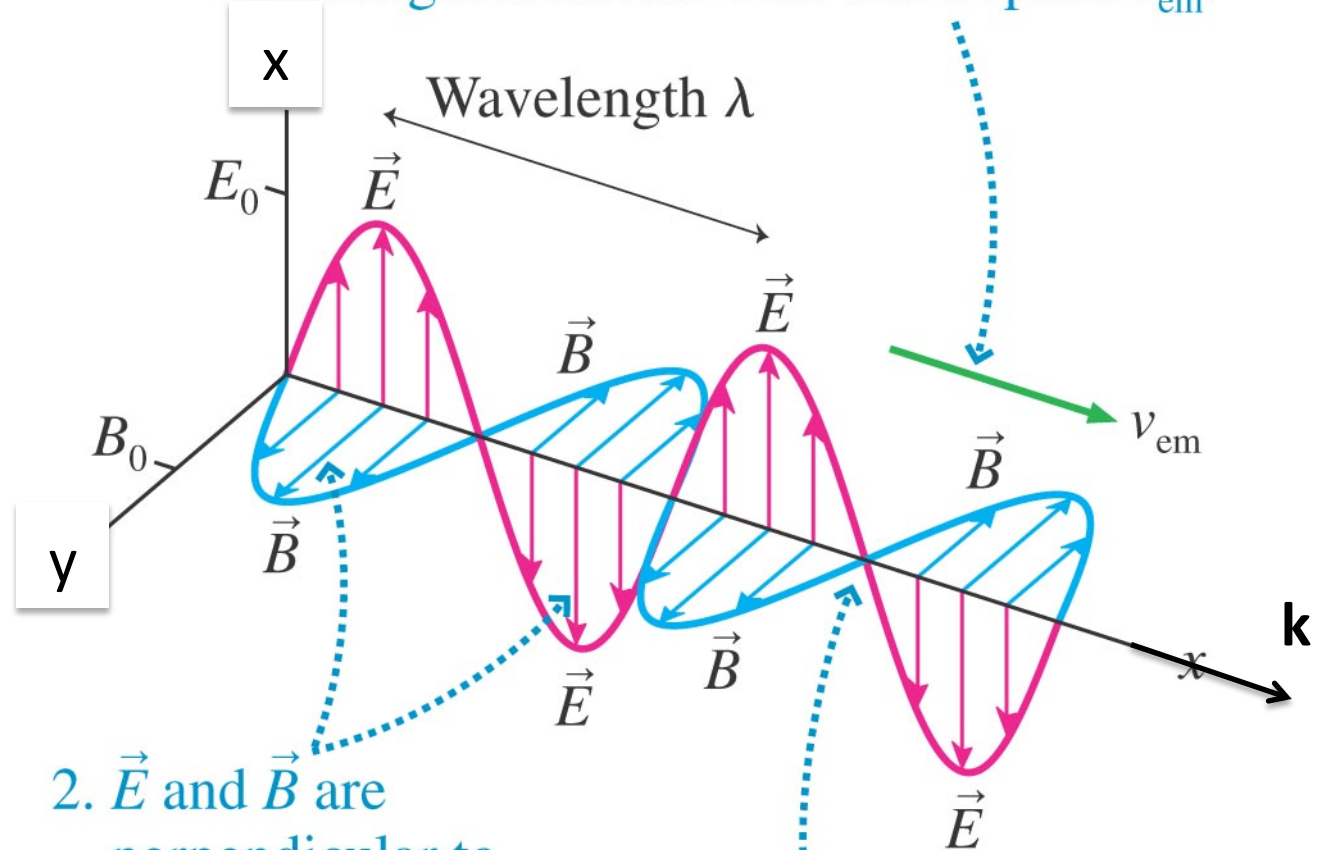
Fourier Integral - used to solve diffraction and dispersion

$$\vec{\mathbf{E}}(\mathbf{x}, t) = \text{Re} \left\{ \int d^3k \hat{\mathbf{E}}(\mathbf{k}) \exp \left[ i(\mathbf{k} \cdot \mathbf{x} - \omega(\mathbf{k})t) \right] \right\} \quad k^2 = \epsilon\mu\omega^2(\mathbf{k})$$

1. A sinusoidal wave with frequency  $f$  and wavelength  $\lambda$  travels with wave speed  $v_{em}$ .

Linearly Polarized Waves

Electric field vector lies in one plane

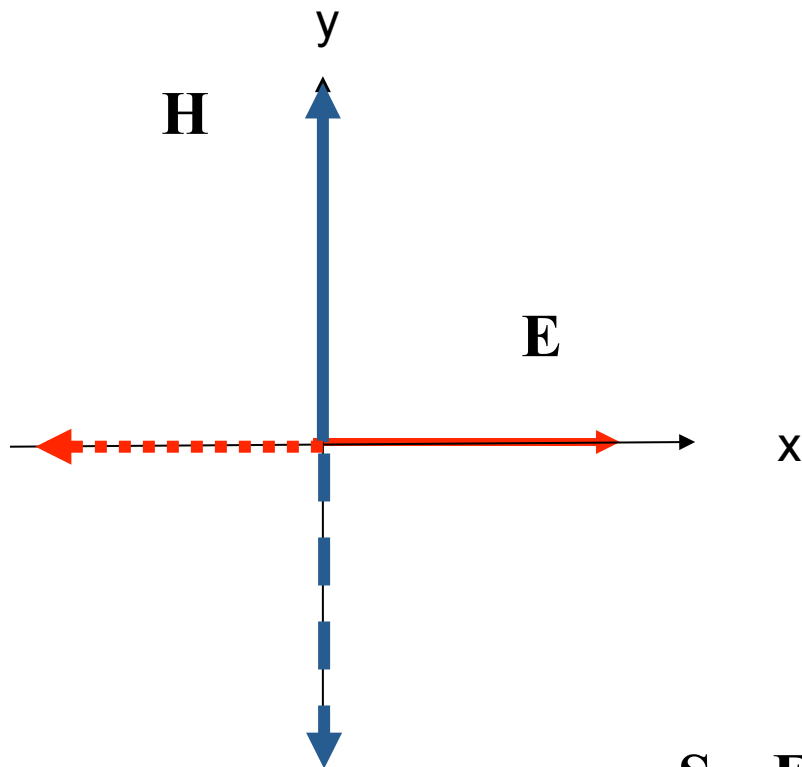


2.  $\vec{E}$  and  $\vec{B}$  are perpendicular to each other and to the direction of travel. The fields have amplitudes  $E_0$  and  $B_0$ .

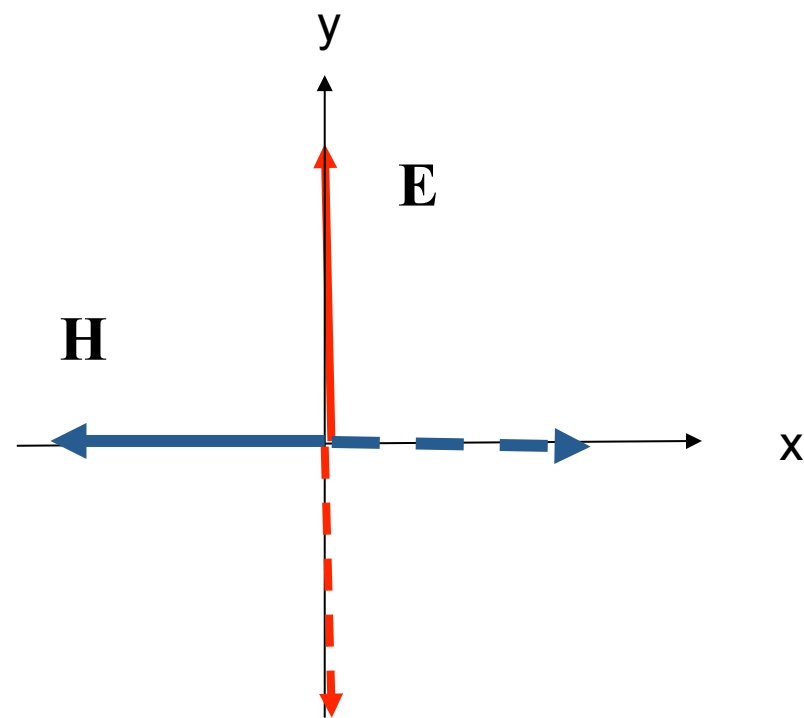
3.  $\vec{E}$  and  $\vec{B}$  are in phase. That is, they have matching crests, troughs, and zeros.

# Linear Polarizations

Linearly Polarized in X direction



Linearly Polarized in y direction

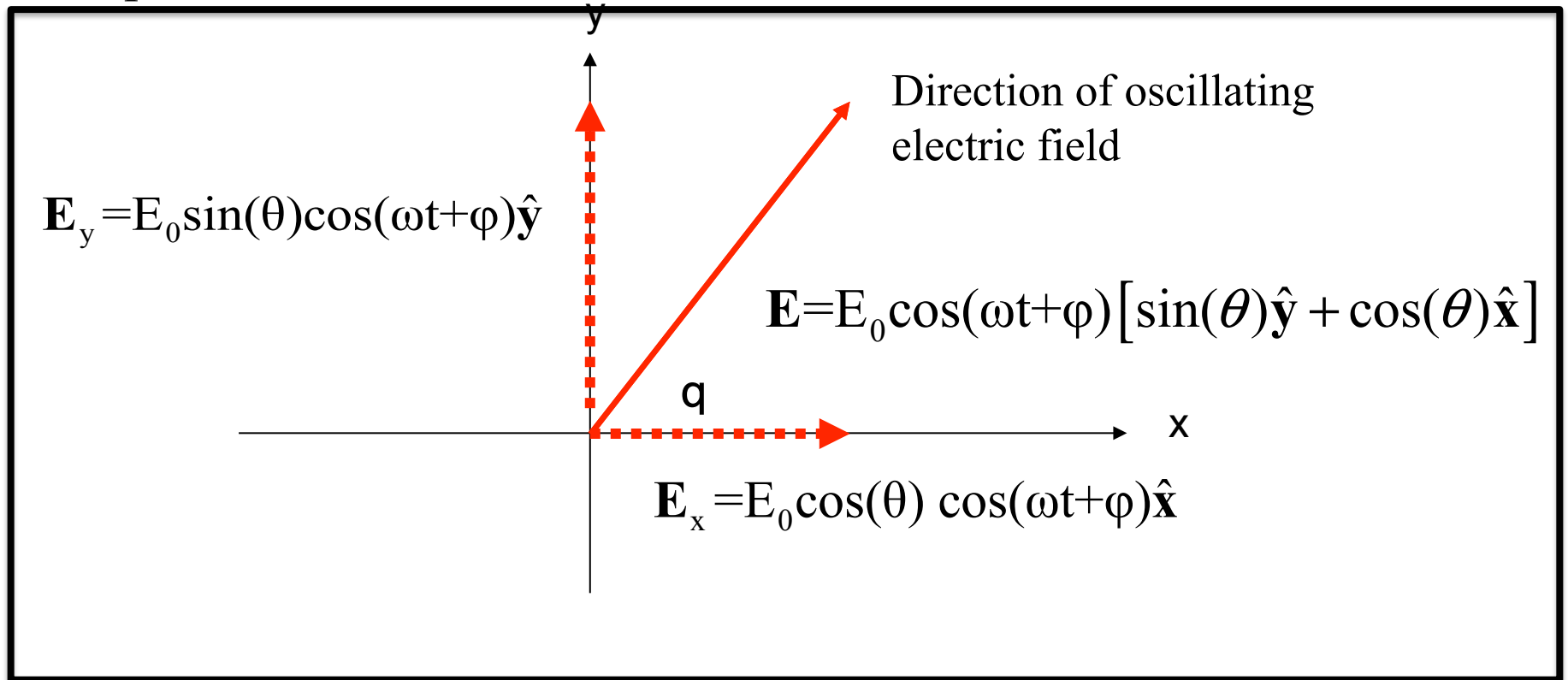


$$\mathbf{S} = \mathbf{E} \times \mathbf{H} = S\hat{\mathbf{z}}$$

# Linear Polarization

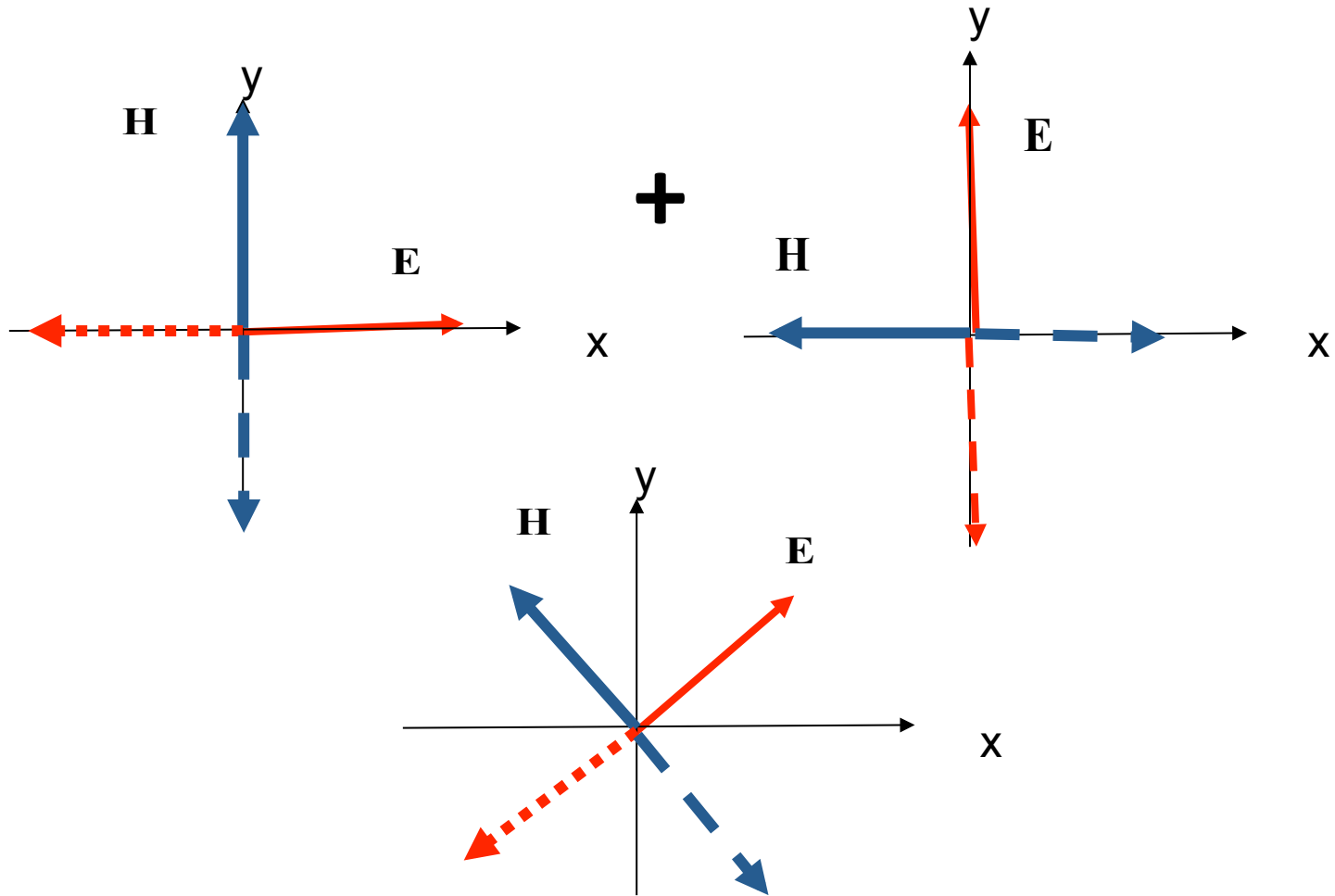
For a wave propagating in z direction, a linearly polarized wave has x and y components oscillating in **phase**

z - plane





# In phase superposition of two linearly polarized waves

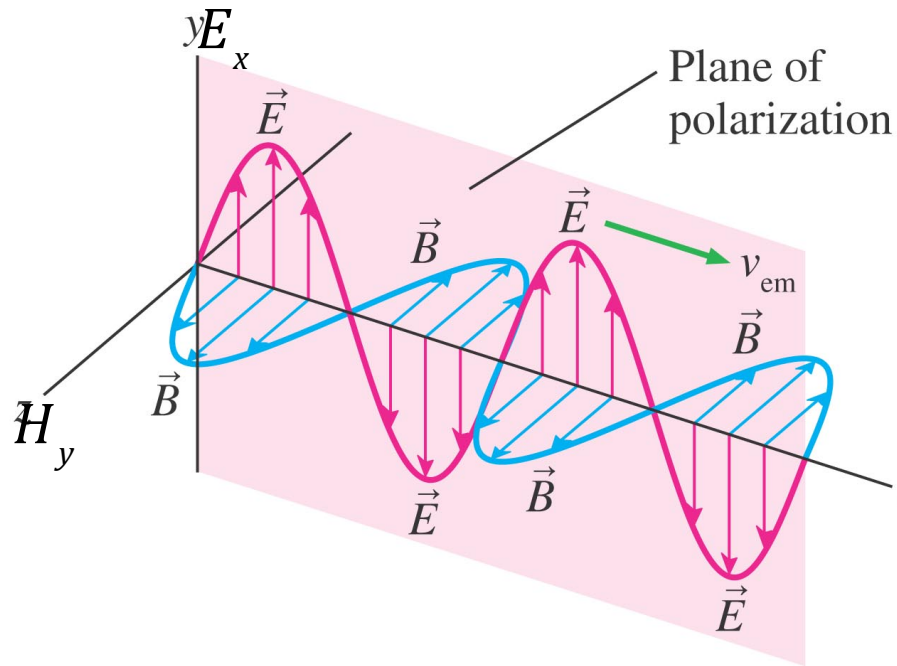


# Polarizations

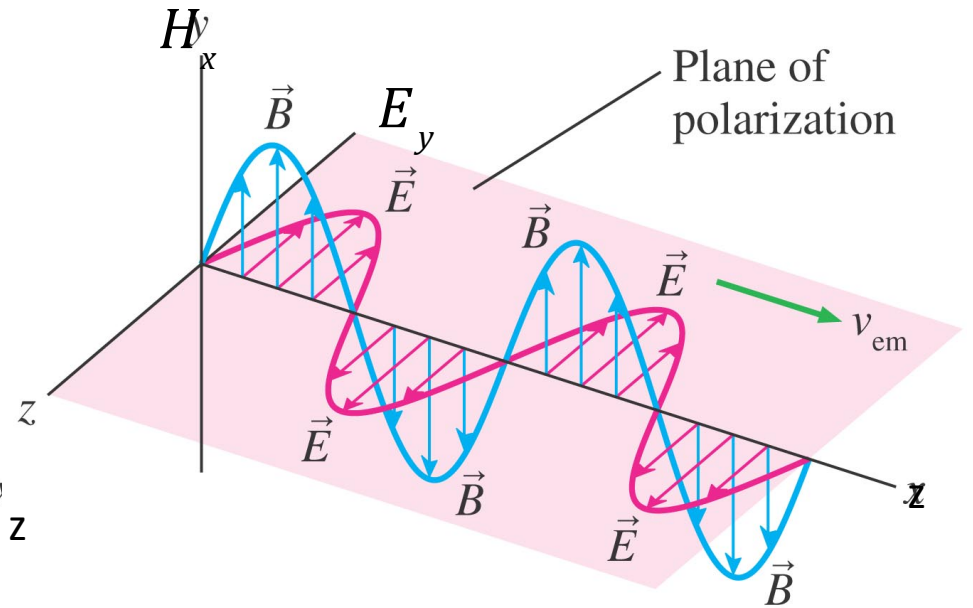
We picked this combination of fields:  
 $E_x - H_z$

Could have picked this combination of fields:  $E_y - B_x$

(a) Vertical polarization



(b) Horizontal polarization



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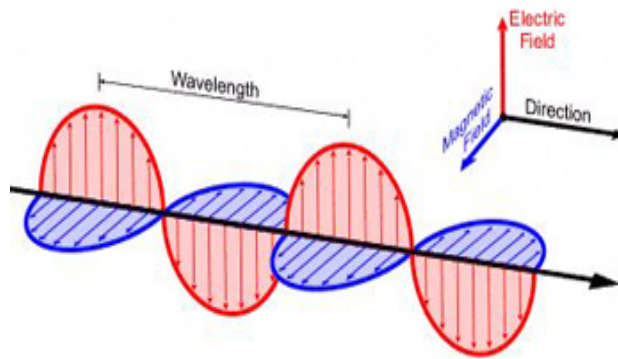
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These are called plane polarized. Fields lie in plane

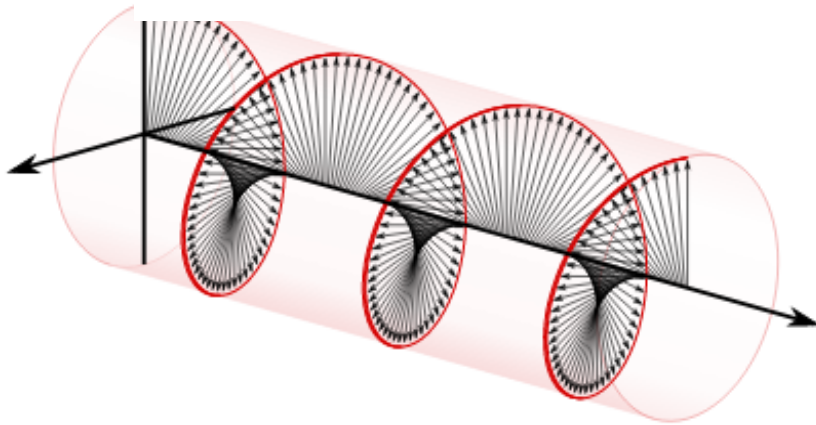
# Polarization

**Polarization** is determined by the direction of **E** field

The wave is **linearly** polarized if the **electric field** oscillates in **one plane**



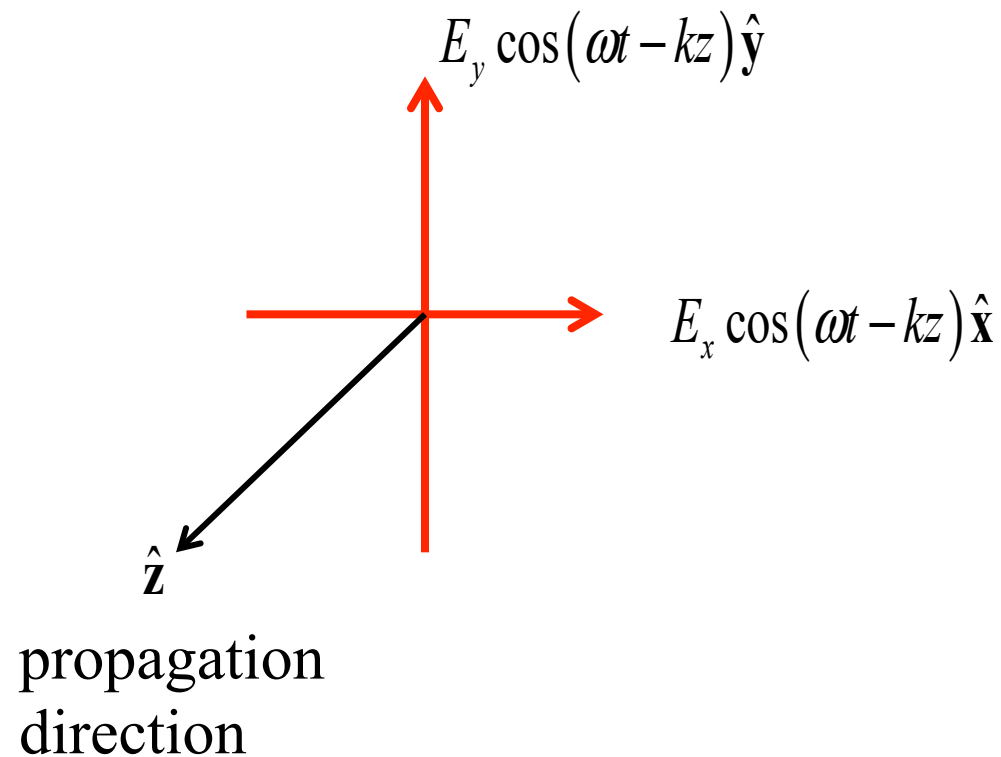
Linear polarization



Circular polarization

## Polarization of Fields

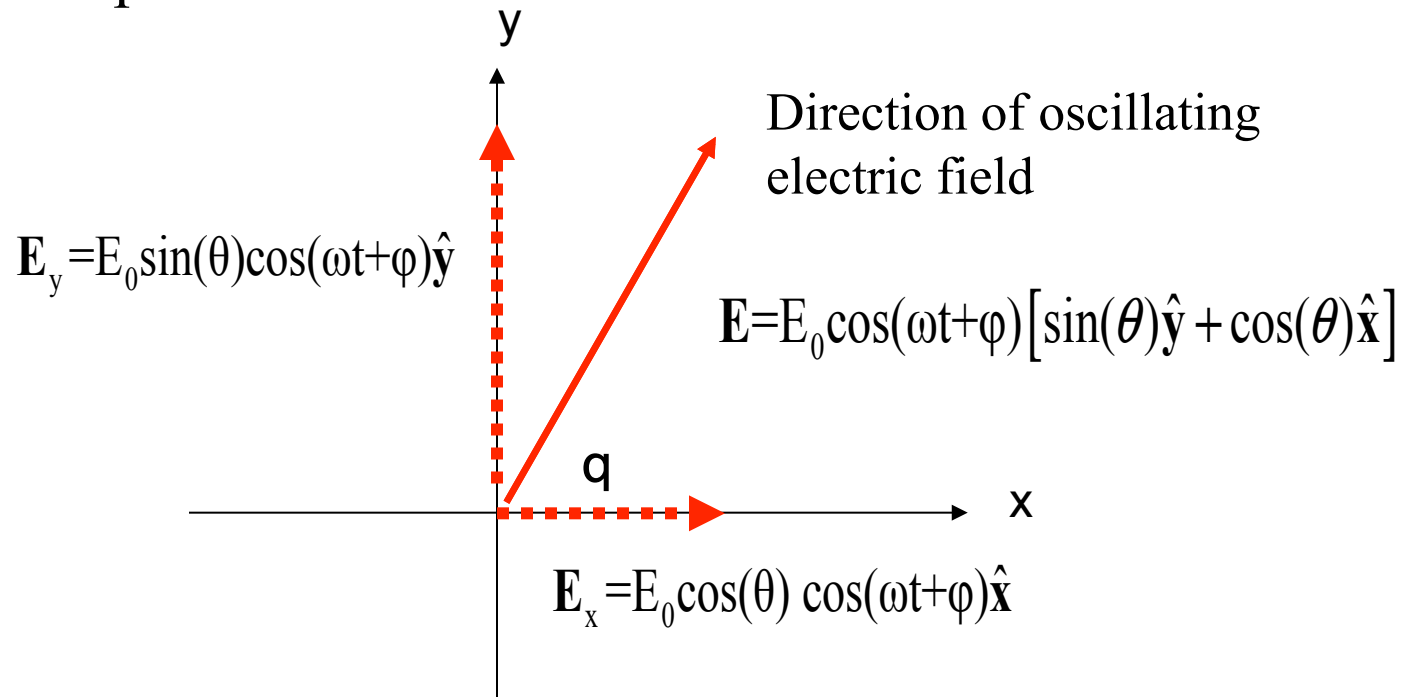
Consider two waves having the same frequency, same directions of propagation, but different orthogonal linear polarizations



## Linear Polarization

For a wave propagating in z direction, a linearly polarized wave has x and y components oscillating in **phase**

z - plane



# Polarization of Electric Fields

Three parameters determine the state of polarization

Consider a wave propagating in  $z$  direction

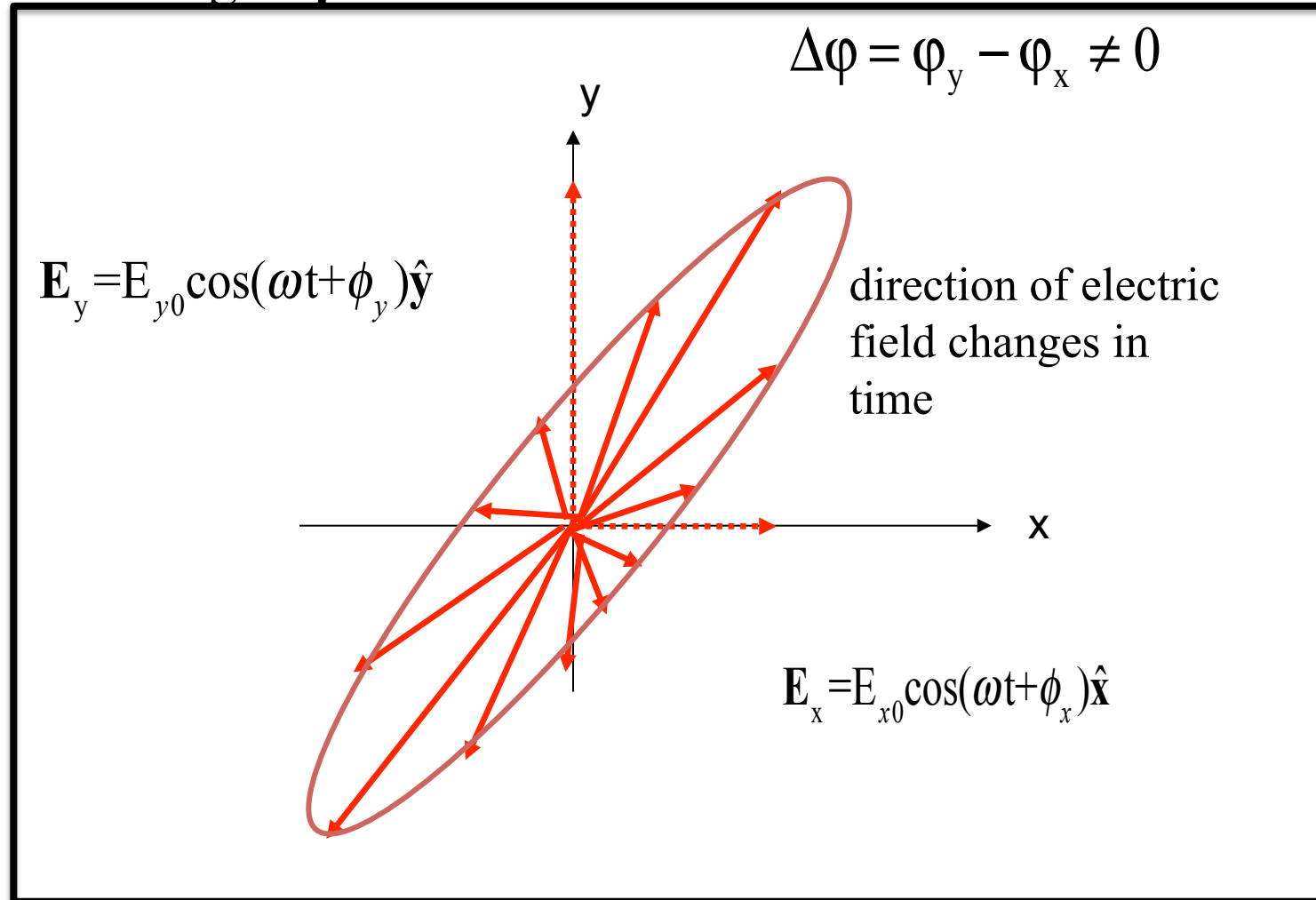
1. Field strength along  $x$  direction

2. Field strength along  $y$   
direction

3. Relative phase shift between  
them

## Elliptical Polarization

Elliptically polarized light has x and y field components **not** oscillating **in phase**

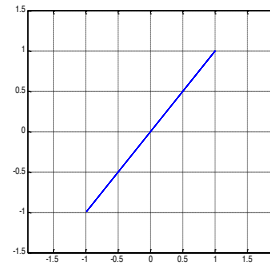


# Different States of Polarization

$$\Delta\varphi = \varphi_y - \varphi_x$$

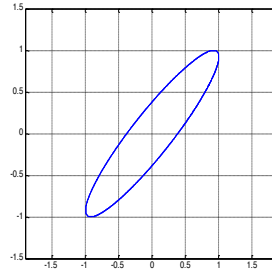
$$E_{x0} = E_{y0}$$

$$|E_x| = |E_y| \quad \Delta\varphi = 0$$



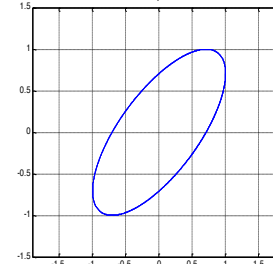
linear

$$\Delta\varphi = \pi/8$$



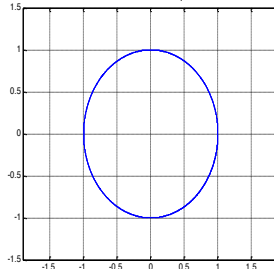
elliptical

$$\Delta\varphi = \pi/4$$



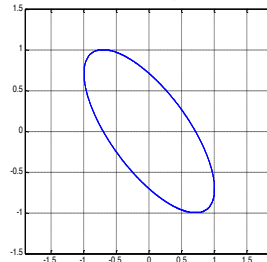
elliptical

$$\Delta\varphi = \pi/2$$



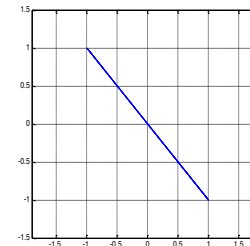
circular

$$\Delta\varphi = 3\pi/4$$



elliptical

$$\Delta\varphi = \pi$$



linear



# Problem

An electromagnetic wave travelling in vacuum in the +z direction has the real electric field at z=0,

$$\mathbf{E}(z=0,t) = E_{0x} \cos(\omega t + \pi/4) \hat{\mathbf{x}} + E_{0y} \cos(\omega t - \pi/4) \hat{\mathbf{y}}$$

Represent this wave in phasor form:

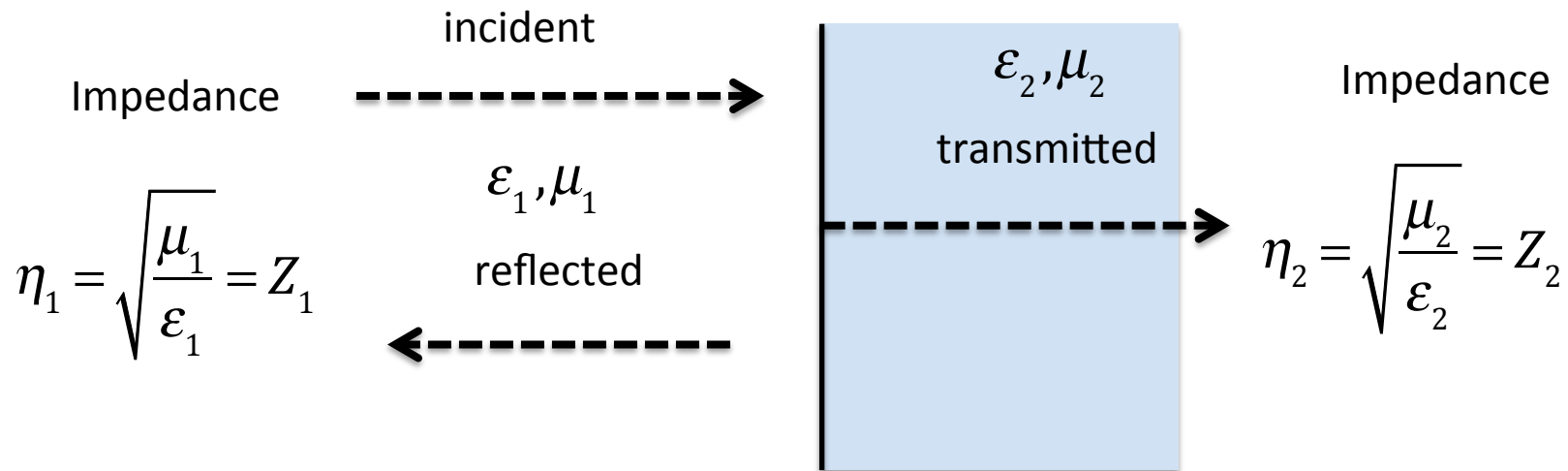
$$\mathbf{E}(z,t) = \text{Re} \left\{ \hat{\mathbf{E}} \exp \left[ i(kz - \omega t) \right] \right\}$$

$$\mathbf{H}(z,t) = \text{Re} \left\{ \hat{\mathbf{H}} \exp \left[ i(kz - \omega t) \right] \right\}$$

What is the polarization of the wave? Plane, circular, elliptical?

$$\mathbf{E}(z=0,t) = E_{0x} \cos(\omega t + \pi/4) \hat{\mathbf{x}} + E_{0y} \cos(\omega t - \pi/4) \hat{\mathbf{y}}$$

# Reflection at an interface



Generic Rules

$$\frac{E_{\text{reflected}}}{E_{\text{incident}}} \equiv \rho = \frac{Z_2 - Z_1}{Z_2 + Z_1}$$

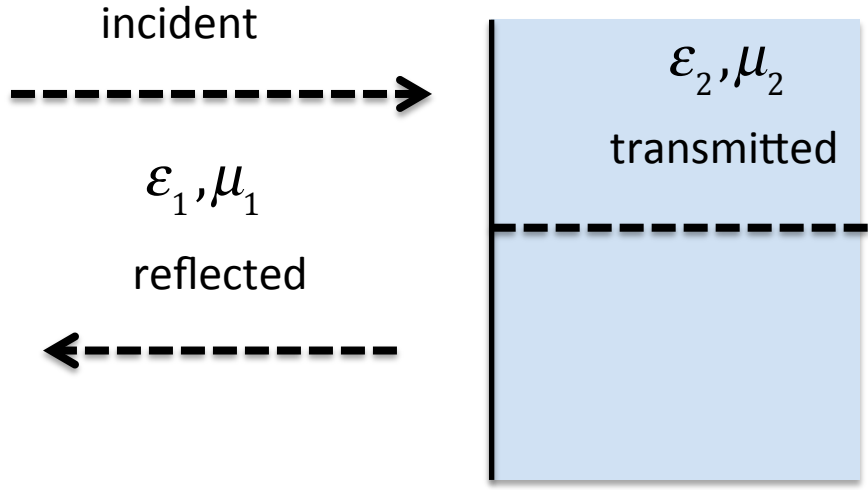
$\rho$  Voltage Reflection Coefficient

$$\frac{E_{\text{transmitted}}}{E_{\text{incident}}} \equiv \tau = 1 + \rho = \frac{2Z_2}{Z_2 + Z_1}$$

$\tau$  Voltage Transmission Coefficient

Note, if  $Z_2 = Z_1$   $\rho = 0, \tau = 1$

# Normal Incidence Linear Polarization



incident

$\epsilon_1, \mu_1$

reflected

$\epsilon_2, \mu_2$   
transmitted

$z=0$

$$\eta_1 = \sqrt{\frac{\mu_1}{\epsilon_1}}$$

$$k_1 = \omega \sqrt{\epsilon_1 \mu_1}$$

$$\eta_2 = \sqrt{\frac{\mu_2}{\epsilon_2}}$$

$$k_2 = \omega \sqrt{\epsilon_2 \mu_2}$$

$$E_x = \text{Re} \left\{ \left( \hat{E}_{inc} e^{ik_1 z} + \hat{E}_{ref} e^{-ik_1 z} \right) e^{-i\omega t} \right\}$$

$$H_y = \text{Re} \left\{ \frac{1}{\eta_1} \left( \hat{E}_{inc} e^{ik_1 z} - \hat{E}_{ref} e^{-ik_1 z} \right) e^{-i\omega t} \right\}$$

$$E_x = \text{Re} \left\{ \left( \hat{E}_{trans} e^{ik_2 z} \right) e^{-i\omega t} \right\}$$

$$H_y = \text{Re} \left\{ \frac{1}{\eta_2} \left( \hat{E}_{trans} e^{ik_2 z} \right) e^{-i\omega t} \right\}$$

At  $z=0$  tangential E and tangential H are continuous

At  $z=0$  tangential E and tangential H are continuous

Region 1

$$E_x = \text{Re} \left\{ \left( \hat{E}_{inc} e^{ik_1 z} + \hat{E}_{ref} e^{-ik_1 z} \right) e^{-i\omega t} \right\}$$

$$H_y = \text{Re} \left\{ \frac{1}{\eta_1} \left( \hat{E}_{inc} e^{ik_1 z} - \hat{E}_{ref} e^{-ik_1 z} \right) e^{-i\omega t} \right\}$$

Region 2

$$E_x = \text{Re} \left\{ \left( \hat{E}_{trans} e^{ik_2 z} \right) e^{-i\omega t} \right\}$$

$$H_y = \text{Re} \left\{ \frac{1}{\eta_2} \left( \hat{E}_{trans} e^{ik_2 z} \right) e^{-i\omega t} \right\}$$

At  $z=0$

Tangential E

$$\hat{E}_{inc} + \hat{E}_{ref} = \hat{E}_{trans}$$

Tangential H

$$\frac{\hat{E}_{inc} - \hat{E}_{ref}}{\eta_1} = \frac{\hat{E}_{trans}}{\eta_2}$$

solve



$$\frac{\hat{E}_{ref}}{\hat{E}_{inc}} = \frac{\eta_2 - \eta_1}{\eta_2 + \eta_1} \equiv \rho$$

$$\frac{\hat{E}_{trans}}{\hat{E}_{inc}} = \frac{2\eta_2}{\eta_2 + \eta_1} \equiv \tau = 1 + \rho$$

# Reflected and Transmitted Power

$$S_z = E_x H_y = \frac{1}{2} \operatorname{Re} \left\{ \hat{E}_x^* \hat{H}_y \right\}$$

Region 1:

$$S_z = E_x H_y = \frac{1}{2} \operatorname{Re} \left\{ \frac{1}{\eta_1} (\hat{E}_{inc}^* + \hat{E}_{ref}^*) (\hat{E}_{inc} - \hat{E}_{ref}) \right\} = \frac{1}{2\eta_1} \left( |\hat{E}_{inc}|^2 - |\hat{E}_{ref}|^2 \right)$$

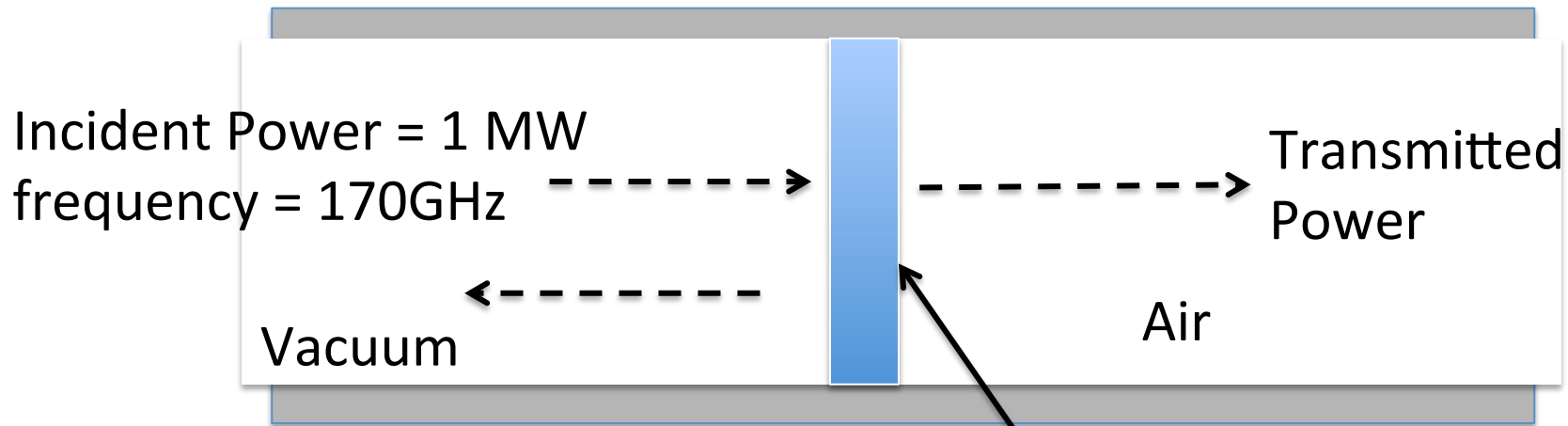
$$P_{inc} (1 - |\rho|^2)$$

Region 2:

$$S_z = E_x H_y = \frac{1}{2} \operatorname{Re} \left\{ \frac{1}{\eta_2} |\hat{E}_{trans}|^2 \right\} = \frac{1}{2\eta_2} |\tau \hat{E}_{inc}|^2$$

$$= P_{inc} \frac{\eta_1}{\eta_2} \left| \frac{2\eta_2}{\eta_2 + \eta_1} \right|^2 = P_{inc} \left[ 1 - \left( \frac{\eta_2 - \eta_1}{\eta_2 + \eta_1} \right)^2 \right] = P_{inc} (1 - |\rho|^2)$$

# Diamond Window



1. Calculate the power reflection coefficient at the first surface.
2. Is that acceptable?
3. What are we missing?

CVD Diamond window  
 $\epsilon = 5.7\epsilon_0$

